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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/809,765 | 03/15/2001 | Larry Scheinberg | 11847-010001 |

CONFIRMATION NO. 4984

FORMALITIES LETTER



OC000000005999158

JERRY D. LENTZ
Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804

Date Mailed: 04/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Sector
Attorney's Docket No.: 11847-010001 #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : LARRY SCHEINBERG AND
DAVID SCHEINBERG

Art Unit : Unknown
Examiner : Unknown

Serial No. : 09/809,765

Filed : March 15, 2001

Title : MARGIN RELEASE SYSTEM FOR AN ELECTRONIC-BASED MARKET

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed April 24, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☒ Check in payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ Postcard.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

June 14, 2001
Date of Deposit

Lenora H. Francis
Signature

LENORA H. FRANCIS
Typed or Printed Name of Person Signing Certificate

Applicant : LARRY SCHEINBERG AND DAVID
SCHEINBERG
Serial No. : 09/809,765
Filed : March 15, 2001
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Attorney's Docket No.: 11847-010001

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Date: _____

6/14/2001

Respectfully submitted,

Jerry D. Lentz
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